

From: [Deborah J. Bortot](#)
To: [Keith Labedz](#)
Subject: FW: Ethics agreement commitment: Mnuchin
Date: Tuesday, July 10, 2018 12:26:27 PM

From: Keith Labedz
Sent: Thursday, June 28, 2018 10:15 AM
To: Deborah J. Bortot
Subject: Ethics agreement commitment: Mnuchin

The Annual report for Secretary Mnuchin is now with OGE for review. (b)(5) and some portions also
(b)(3) and/or (b)(6)

[REDACTED]

From: [Lori Kelly](#)
To: [Dale A. Christopher](#); [Mark R.B. Stewart](#)
Subject: RE: 278 Status Reminder
Date: Tuesday, October 16, 2018 5:18:58 PM

No, I don't know.

From: Dale A. Christopher
Sent: Tuesday, October 16, 2018 5:15 PM
To: Mark R.B. Stewart
Cc: Lori Kelly
Subject: RE: 278 Status Reminder

Question: Do you know whether Treasury ever responded to Alicia's 8/19 email containing numerous questions re: Mnuchin? (The questions are at the very bottom of the reviewer notes for his annual report in FDTs.) In not, Lori, do you know by chance?

Chip

From: Mark R.B. Stewart
Sent: Friday, October 12, 2018 4:52 PM
To: Lori Kelly; Dale A. Christopher
Cc: 278Tracking
Subject: RE: 278 Status Reminder

Hey Chip,

Just so you know, and I'm sure Dan briefed you on all the outstanding reports in the month before he left (b)(6). But thank god Lori is still here.

Anyway, (b)(6) is one Dan sent back to the agency. I didn't know that, Lori briefed me, (b)(6), and they are working with me on it. (b)(6) OGE staffing, and I have been assigned all of her old reports.

(b)(5) and (6) unrelated filer

Do you know how great it is when the desk officer is there to help out with the review and the 278 reporting requirements? We used to do that all the time.

Wow. Anyway, I've sent up the (b)(6) unrelated filer report for your review, but I had to go back to the agency on tech issues on the first annual. We are really going back in time, and yes, it's been that long. (b)(6) unrelated filer. I've documented that in the emails.

Mnuchin is just huge. Working it. It was also assigned to me when (b)(6) OGE staffing. Among many others. (b)(5)

Hey, not a problem, I'll handle it.

Mark

From: Lori Kelly
Sent: Friday, October 12, 2018 11:42 AM
To: Mark R.B. Stewart
Cc: 278Tracking
Subject: 278 Status Reminder

Hi,

Just a friendly heads-up on any reports over 30 days:

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Stewart, Mark R.B.	Annual	7/2/2018	102	In Progress	(b)(6) Unrelated filers		
Stewart, Mark R.B.	Annual	6/1/2018	133	Not Started			
Stewart, Mark R.B.	Annual	6/27/2018	107	Initial Review Complete	Mnuchin	Steven	Department of The Treasury

Please let us know as early as possible if you have significant concerns on any report.

Thanks!

Lori Kelly, Staff Assistant
U.S. Office of Government Ethics
Financial Disclosure Branch
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9306

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Greetings

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

Visit OGE's website: www.oge.gov

From: [Jennifer Matis](#)
To: [Dale A. Christopher](#)
Subject: FW: 201 Request - Secretary Mnuchin's 2018 OGE-278
Date: Friday, October 19, 2018 8:55:30 AM

Chip, Would it be possible for you to have someone notify me when this report is posted so I can advise this Senate staffer?

Thanks,

Jen

Jennifer Matis
Associate Counsel
Legal, External Affairs and Performance Branch
Program Counsel Division
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website: www.oge.gov

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From: Nicholson, Ian (Finance) [mailto:(b) (6)]
Sent: Thursday, October 18, 2018 2:23 PM
To: Jennifer Matis
Subject: RE: 201 Request - Secretary Mnuchin's 2018 OGE-278

Thanks again, Jen. Please keep me posted once OGE has certified Secretary Mnuchin's latest 278 and posts to the website.

From: 201 Forms <201forms@oge.gov>
Sent: Tuesday, October 16, 2018 11:49 AM
To: Nicholson, Ian (Finance) (b) (6) >; 201 Forms <201forms@oge.gov>
Cc: Jennifer Matis <jmatis@oge.gov>
Subject: RE: 201 Request - Secretary Mnuchin's 2018 OGE-278

No attachment found in search

Mr. Nicholson,

Attached is the Annual 278 for Secretary Mnuchin. The report has not yet been certified by OGE but has been under OGE review for 30+ days. There are no recent transaction reports available.

We invite you to continue to check the following link for OGE certified 278 and 278T reports that are available and will become available in the future for Secretary Mnuchin.

<https://extapps2.oge.gov/201/Presiden.nsf/f54fd322068f23a385257fc40006f88e?OpenForm>

Status: Complete

Best regards,

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

From: Nicholson, Ian (Finance) [mailto:(b) (6)]
Sent: Tuesday, October 16, 2018 10:33 AM
To: 201 Forms
Cc: Jennifer Matis
Subject: 201 Request - Secretary Mnuchin's 2018 OGE-278

Good morning,

I'm submitting a 201 request for Treasury Secretary Mnuchin's most recent 278 Form, which I believe was filed with OGE several months ago, yet has not been posted online. If there are associated 278-Ts, I'd be interested in reviewing those as well.

Once processed, I'd like to receive the documents via email instead of the "by mail" option.

Thanks very much.

Ian

Ian Nicholson

Investigator
U.S. Senate Committee on Finance
Senator Ron Wyden, Ranking Member
219 Dirksen Senate Building
Washington, D.C. 20510
Main: (b) (6)
Direct: (b) (6)

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From: [Dale A. Christopher](#)
To: (b)(6) - Peter Riesen's email address
Cc: (b)(6) - Elizabeth Horton's email address
Subject: RE: Answers to OGE questions on Sec form
Date: Thursday, October 25, 2018 9:36:58 AM

Thanks, Peter. I'll be in touch.

Chip

Referral to Treasury




From: Alicia N. Rosado <anrosado@oge.gov>
Sent: Thursday, August 9, 2018 10:35 AM
To: Horton, Elizabeth (b) (6) >
Cc: (b)(6) - Alan Risenhoover's email address
Subject: 2018 Public Financial Disclosure Report - OGE Review [Message contains CUI]
Importance: High

Dear Ms. Horton,

I am writing to request your assistance with OGE's review of Secretary Mnuchin's 2018 annual public financial disclosure report. You are welcome to reply to this email with information regarding the following entries on the report:

(b)(5) and some portions also (b)(3), (4) and/or (6)



(b)(5) and some portions also (b)(3), (4) and/or (6)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

(b)(5) and some portions also (b)(3), (4) and/or (6)

[REDACTED]

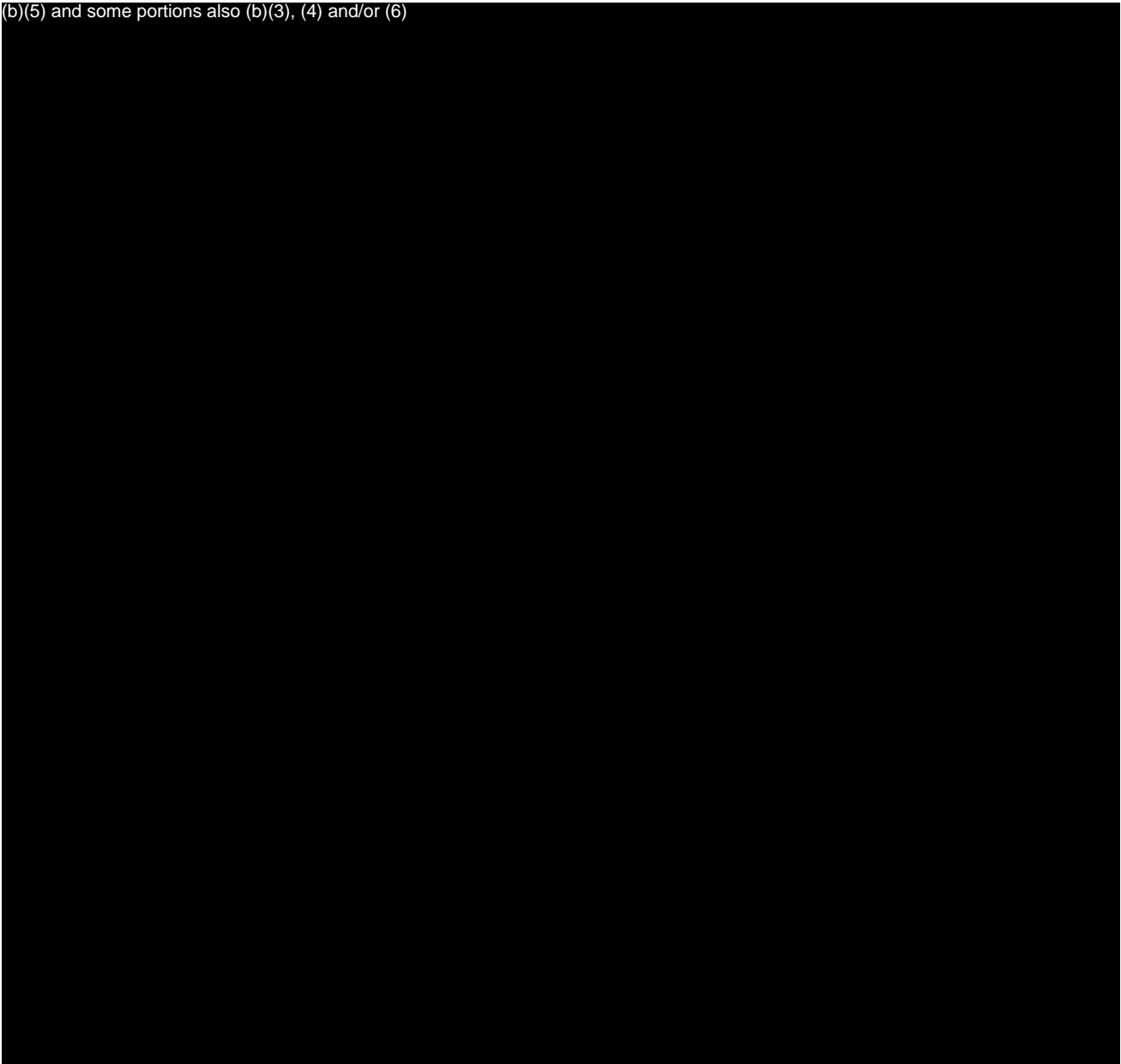
[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

(b)(5) and some portions also (b)(3), (4) and/or (6)



I look forward to your response. Please contact me with any questions regarding this request.
Thank you in advance for your assistance.

CONTROLLED

Respectfully,

Alicia

Alicia Rosado, M.A.
Program Analyst
Compliance Division
U.S. Office of Government Ethics

1201 New York Ave, NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9254
Fax 202.482.9238

Visit OGE's website: www.oge.gov

From: [Emory A. Rounds III](#)
To: [David J. Apol](#)
Cc: [Dale A. Christopher](#)
Subject: FW: call to OGE
Date: Monday, November 5, 2018 10:22:31 AM

FYI

Referral to Treasury



From: Sonfield, Brian
Sent: Monday, November 5, 2018 10:18 AM
To: Horton, Elizabeth (b) (6)
Subject: RE: call to OGE

How about his email address?

Referral to Treasury



Referral to Treasury



Referral to Treasury



Referral to Treasury



Referral to Treasury



From: [David J. Apol](#)
To: [Emory A. Rounds III](#); [Dale A. Christopher](#); [Shelley K. Finlayson](#)
Subject: FW: 11a Conference Call Tomorrow
Date: Wednesday, November 7, 2018 6:42:46 PM

Any availability? I have a 1:30 – 3:30 meeting with staff, but can change or interrupt it if necessary.

Referral to Treasury



From: [Mark R.B. Stewart](#)
To: [Dale A. Christopher](#)
Subject: Leave
Date: Saturday, December 15, 2018 12:07:38 PM

Sir,

Just an fyi on my outstanding reports. Mnuchin is an annual, and I believe you had forwarded it to the GC.

(b)(6) (unrelated filer) that was returned to the agency to break out underlying holdings. They are working it, but (b)(6) (unrelated filer). I sent them another reminder on Friday.

Also, I named you on my out-of-office email, hope that is okay.

Mark

Mark RB Stewart
Office of Government Ethics
1201 New York Avenue NW, Suite 500
Washington, DC 20005-3917

Tel: (202) 482-9273
Fax: (202) 482-9238
Email: mstewart@oge.gov
Visit OGE's website at: www.usoge.gov
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From: [Dale A. Christopher](#)
To: [Mark R.B. Stewart](#)
Subject: RE: Mnuchin
Date: Friday, February 1, 2019 2:35:58 PM

We're getting closer! Hoping to have it wrapped up next week.

From: Mark R.B. Stewart
Sent: Friday, February 01, 2019 11:04 AM
To: Dale A. Christopher
Subject: Mnuchin

Sir,

Was this report ever certified? I still have it in my ques in FDTS and Integrity.

Thanks,

Mark

Mark RB Stewart
Office of Government Ethics
1201 New York Avenue NW, Suite 500
Washington, DC 20005-3917

Tel: (202) 482-9273
Fax: (202) 482-9238
Email: mstewart@oge.gov
Visit OGE's website at: www.usoge.gov
Follow OGE on Twitter: @OfficeGovEthics

Referral to Treasury



From: Director of OGE <director@oge.gov>
Sent: Thursday, February 7, 2019 10:38 AM
To: McIntosh, Brent (b) (6) >
Cc: (b)(6) - Nicole Stein's personal email address >; Shelley K. Finlayson <skfinlay@oge.gov>
Subject: RE: Secretary Mnuchin 278

Nicole Stein has taken the OGE lead in arranging my visits with Department Secretaries, and she can be reached at 202-482-9255 or the email address above.

Take care, and looking forward to seeing you again.

Emory

Referral to Treasury



From: Director of OGE <director@oge.gov>
Sent: Thursday, February 7, 2019 9:34 AM
To: McIntosh, Brent <(b) (6)>
Subject: RE: Secretary Mnuchin 278

Good morning, Brent.

Of course I would be willing to meet with the Secretary, especially since I have been scheduling courtesy visits with department heads over the past several months for meet and greet discussions.

This said, and most unfortunately, I am not available tomorrow due to previously scheduled travel.

Understanding the nature of the topic, as well as the Secretary's concerns, might the following week (Tuesday afternoon, the 19th, or Wednesday morning, the 20th) work as well?

And certainly, if for some reason there becomes availability next week, then a meeting could I am sure be quickly arranged.

Thanks!

Emory

Emory A. Rounds, III
Director
U.S. Office of Government Ethics

Referral to Treasury




From: [Emory A. Rounds III](#)
To: [Nicole Stein](#)
Subject: Accepted: Updated: Treasury

From: [Nicole Stein](#)
To: [Emory A. Rounds III](#); [Shelley K. Finlayson](#)
Subject: FW: CONFIRMED - Meeting with OGE
Date: Monday, February 25, 2019 5:24:29 PM

Treasury reconfirmed the meeting for tomorrow. Below is the logistical information again.

Referral to Treasury (cut and paste from Treasury email)



Referral to Treasury



From: [Deborah J. Bortot](#)
To: [Heather A. Jones](#)
Subject: FW: Email you requested - [Message and attachment(s) contain CUI]
Date: Monday, March 4, 2019 1:27:59 PM
Attachments: [FW STM.msg](#)
[CUI Coversheet_DJB.pdf](#)

First attachment is disclosed below. Second attachment is a standard OGE CUI coversheet and a nonresponsive record.

From: Deborah J. Bortot
Sent: Thursday, November 08, 2018 5:40 PM
To: (b)(6) - Elizabeth Horton's email address
Cc: David J. Apol
Subject: Email you requested - [Message and attachment(s) contain CUI]

CONTROLLED

Email and attachment(s) contain CUI.

Beth,

I've attached the email you requested.

Thanks,

Deb

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227

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From: [Deborah J. Bortot](#)
To: [Lorna A. Syme](#)
Subject: FW: STM
Date: Monday, January 9, 2017 7:51:35 PM

Lorna,
Please add this to your notes.
Thanks,
Deb

From: Rizzi, Robert [mailto:(b) (6)]
Sent: Monday, January 09, 2017 7:50 PM
To: Deborah J. Bortot
Subject: RE: STM

Got it; thanks. I will reiterate that point with him.

From: Deborah J. Bortot [<mailto:djbortot@oge.gov>]
Sent: Monday, January 09, 2017 7:43 PM
To: Rizzi, Robert
Subject: RE: STM

Thanks, Bob. Please make sure the filer understands that if he gets married and his spouse holds assets that he is agreeing to divest, his spouse will have to divest those assets as well.

From: Rizzi, Robert [mailto:(b) (6)]
Sent: Monday, January 09, 2017 7:34 PM
To: Lorna A. Syme
Cc: Deborah J. Bortot
Subject: Re: STM

This looks fine to us. Please let us know when you have precleared. Thanks for all your work.

Bob Rizzi
Steptoe & Johnson LLP
Washington Office
(b) (6)

On Jan 9, 2017, at 7:29 PM, Lorna A. Syme <lasyme@oge.gov> wrote:

Revised ethics agreement.
Lorna Syme
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9277
Facsimile: (202) 482-9237
Visit OGE's website: >>www.oge.gov<<
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From: [Heather A. Jones](#)
To: [Deborah J. Bortot](#)
Subject: Link to Questions
Date: Monday, March 4, 2019 2:56:51 PM

Here is the link to the Mnuchin questions

(b)(5) internal agency network address

Heather Jones
(202) 482-9316
Office of Government Ethics

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Follow OGE on Twitter: @OfficeGovEthics

From: [Deborah J. Bortot](#)
To: (b)(6) - Elizabeth Horton's email address
Cc: [Heather A. Jones](#)
Subject: phone call - [Message and attachment(s) contain CUI]
Date: Tuesday, March 5, 2019 9:22:34 AM
Attachments: [Questions for Treasury Secretary.docx](#)
[CUI Coversheet DJB.pdf](#)

1st attachment (2pp) withheld in full (b)(5), some info also exempt per (b)(3) and (6). 2nd attachment is standard OGE coversheet and a nonresponsive record.

CONTROLLED

Email and attachment(s) contain CUI.

Beth,

Attached are the questions I mentioned on my voice message.

Thanks,

Deb

Deborah J. Bortot
Chief, Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9227

From: [Megan V. Granahan](#)
To: [Dale A. Christopher](#); "[Mark Stewart](#)"
Cc: [Lori Kelly](#)
Subject: (b)(6) personal to M Stewart
Date: Thursday, March 7, 2019 9:33:20 AM

Thanks, Mark. As for the other report(s), we can talk about that when you and Dave are back in the office.

(b)(6) personal to M Stewart
m

-----Original Message-----

From: Dale A. Christopher
Sent: Thursday, March 07, 2019 9:24 AM
To: 'Mark Stewart'; Megan V. Granahan
Cc: Lori Kelly
Subject: (b)(6) personal to M Stewart

Emory met with Mnuchin about his report last week. You don't have to do anything on that one right now.

Chip

-----Original Message-----

From: Mark Stewart [[mailto:](#)(b)(6) personal email]
Sent: Thursday, March 07, 2019 9:22 AM
To: Megan V. Granahan
Cc: Dale A. Christopher; Lori Kelly
Subject: (b)(6) personal to M Stewart

Hey,

(b)(6) personal to M Stewart

I think I'm pretty much caught up with the files assigned to me while (b)(6) personal to M Stewart just wanted to give a reminder that Dave was still tracking down 278s that were assigned to him for clearance? And that some were politically hot, potentially?

He already has Mnuchin, but I haven't sent up (b)(6) unrelated file in FDTs or Integrity yet, wanted to talk it out first?

It's an old one that apparently Dan worked on.

Mark

Sent from my iPhone

From: [Mark R.B. Stewart](#)
To: [David J. Apol](#)
Subject: Outstanding "18 A reports
Date: Tuesday, March 12, 2019 11:25:11 AM

Sir,

Actually, there is one more, which I think you are aware of, it's Mnuchin at Treasury.

I've been told it's being handled, but it is still in my que in FDTS and Integrity, so it's technically still with me.

Mark

Mark RB Stewart
Office of Government Ethics
1201 New York Avenue NW, Suite 500
Washington, DC 20005-3917

Tel: (202) 482-9273

Fax: (202) 482-9238

Email: mstewart@oge.gov

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From: [David J. Apol](#)
To: [Shelley K. Finlayson](#)
Subject: FW: OGE Questions for Treasury Secretary 3.15.19
Date: Monday, March 18, 2019 12:24:16 PM
Attachments: [OGE Questions for Treasury Secretary 3.15.19.docx](#)
Referred to Treasury.
Referred to Treasury.

1st attachment (6pp) withheld in full (b)(5), some info also exempt per (b)(3), (4) and (6). 2nd attachment (1p) is an email thread referred to Treasury. 3rd attachment is a memo (5pp) referred to Treasury.

Treasury's response.

Referral to Treasury



Referral to Treasury



Referral to Treasury



From: [Integrity.gov](https://integrity.gov)
To: [Mark R.B. Stewart](#)
Subject: Integrity.gov: Report Pending Action
Date: Tuesday, March 19, 2019 11:14:01 AM

A 2018 Annual report for Mnuchin, Steven is pending your action as OGE Reviewer. The report has been pending for 168 days. You may access the report by logging into integrity at <https://integrity.gov>.

Sara Nekou

From: Deborah J. Bortot
Sent: Tuesday, March 19, 2019 1:35 PM
To: David J. Apol
Subject: Accepted: Meeting on Mnuchin

From: [David J. Apol](#)
To: [Shelley K. Finlayson](#); [Deborah J. Bortot](#); [Heather A. Jones](#)
Subject: Mnuchin

Rescheduled from 2.

Sara Nekou

Subject: Canceled: Meeting on Mnuchin
Location: small conf. room

Start: Wed 3/20/2019 2:00 PM
End: Wed 3/20/2019 3:00 PM
Show Time As: Free

Recurrence: (none)

Meeting Status: Not yet responded

Organizer: David J. Apol
Required Attendees: Shelley K. Finlayson; Deborah J. Bortot; Heather A. Jones

Importance: High

Sara Nekou

From: Deborah J. Bortot
Sent: Wednesday, March 20, 2019 12:54 PM
To: David J. Apol
Subject: Accepted: Mnuchin

From: [Megan V. Granahan](#)
To: [Lori Kelly](#)
Subject: RE:
Date: Monday, March 25, 2019 8:40:26 AM

Hi Lori,

Just leave them where they are for now. I'm going to try to meet with Dave this week and go over a few reports I have questions on and will discuss with him then. What are the other four reports he has? He was keeping Mnuchin, (b)(6) unrelated filers so if it's any of those you can leave them with him.

Thanks,

m

From: Lori Kelly
Sent: Monday, March 25, 2019 8:36 AM
To: Megan V. Granahan
Subject: RE: (b)(6) personal to L Kelly

Remaining reports you certified today will be uploaded before Monday morning.
Thanks!

Good morning,

I still have 27 reports to upload. Dave still has 6 reports and 2 of those are for a filer named (b)(5), (6) - Status of unrelated filer

Let me know if you will be the certifier and I will move the reports in FDTs to you.

Lori

From: Megan V. Granahan
Sent: Monday, March 25, 2019 8:26 AM
To: Lori Kelly
Subject: (b)(6) personal to L Kelly

Remaining reports you certified today will be uploaded before Monday morning.
Thanks!

Ok, thanks!

From: Lori Kelly

Sent: Friday, March 22, 2019 8:09 PM

To: Megan V. Granahan

Subject: (b)(6) personal to L Kelly

Remaining reports you certified today will be uploaded before Monday morning.

Thanks!

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

Visit OGE's website: HYPERLINK "<http://www.oge.gov/>"www.oge.gov

From: [Shelley K. Finlayson](#)
To: [David J. Apol](#)
Subject: RE: Update by COB today and mid-year performance narrative and meeting
Date: Monday, March 25, 2019 5:38:48 PM

Thank you

From: David J. Apol
Sent: Monday, March 25, 2019 5:37 PM
To: Shelley K. Finlayson
Subject: RE: Update by COB today and mid-year performance narrative and meeting

Sorry I missed the check in today.

1. Other than Mnuchin, (talked to Beth again today and urged her to get us their proposed letters ASAP) (b)(5), (6) unrelated filers
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
2. Finalized ANPRM today. It is being proofread and you should have a hard copy tomorrow.
3. Am meeting with Emory tomorrow morning to present suggestions.
4. Extended an offer to (b)(6) OGE staffing
[REDACTED]
[REDACTED]

Will get you my pref. summary soon and schedule a meeting.

From: Shelley K. Finlayson
Sent: Monday, March 25, 2019 12:49 PM
To: David J. Apol
Subject: Update by COB today and mid-year performance narrative and meeting

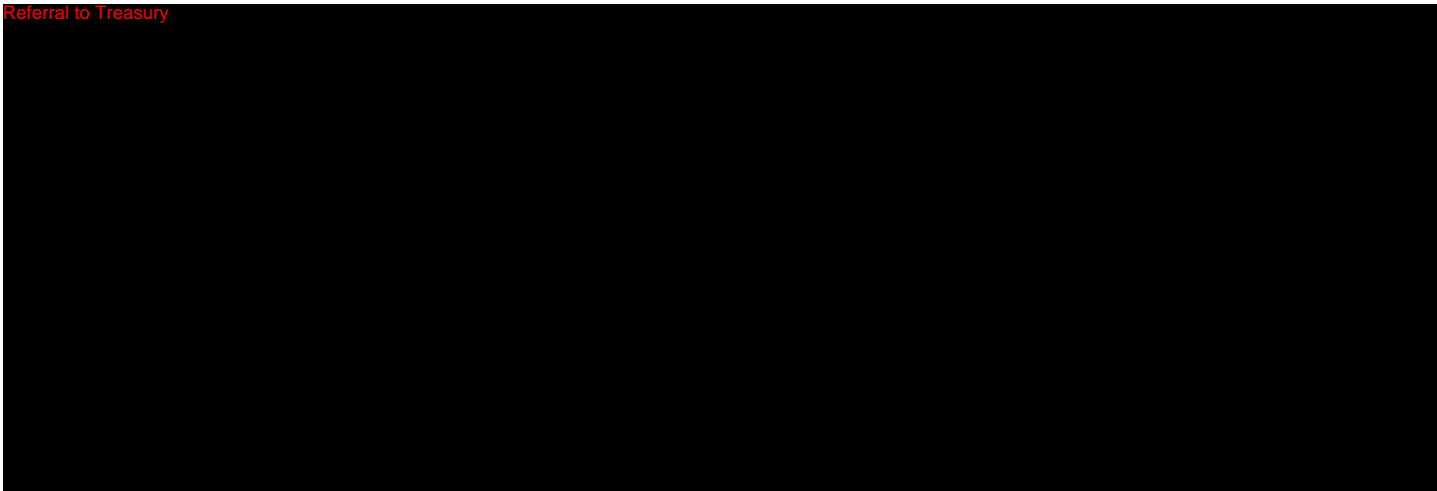
Dave –

Please provide me with an update on the following prior to COB today:

- Remaining 2018 annual financial disclosure reports
- LEF ANPRM
- (b) (5) [REDACTED]
- Hiring of an additional attorney in ELPB

In addition, please send me your mid-year performance summary and schedule a performance meeting with me for no later than this Thursday.

Thanks,
Shelley



From: [Seth Jaffe](#)
To: [David J. Apol](#)
Subject: RE: Mnuchin Ethics Agreement.revised.draft 3.26.19.docx
Date: Wednesday, March 27, 2019 1:10:25 PM

Dave,

(b) (5)

A large rectangular area of the email body is completely redacted with a solid black box.A large rectangular area of the email body is completely redacted with a solid black box.

Thanks,
Seth

From: David J. Apol
Sent: Wednesday, March 27, 2019 12:47 PM
To: Seth Jaffe
Subject: Mnuchin Ethics Agreement.revised.draft 3.26.19.docx

Seth, take a look.

(b) (5)

A large rectangular area at the bottom of the email is completely redacted with a solid black box.

From: [Seth Jaffe](#)
To: [David J. Apol](#)
Subject: Letter Draft - deliberative/pre-decisional
Date: Wednesday, March 27, 2019 5:24:38 PM
Attachments: [Mnuchin AMENDMENT TO EA senateltr\(SHJ\).03.27.19.docx](#)

Two pp attachment withheld
in full (b)(5), (6)

Dave,

I have attached a draft deliberative/pre-decisional letter for your review. I understand that you may want me to edit the draft after we receive Beth's letter, but I thought that you may want to review this draft in the meantime. I just wanted to give you the option.

Thanks,
Seth

From: [David J. Apol](#)
To: [Seth Jaffe](#)
Date: Thursday, March 28, 2019 10:20:23 AM

Here is my shortened version that can link to the letter and ea.

(b)(5), (6) [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

From: [Seth Jaffe](#)
To: [David J. Apol](#); [Shelley K. Finlayson](#)
Subject: Updates to letter/note
Date: Thursday, March 28, 2019 11:50:45 AM
Attachments: [Amendment to EA Senltr2\(SHJ\).03.28.19.docx](#)
[Note to Report drafts.03.28.19.docx](#)

2 pp and 1 pp attachments withheld in full (b)(5), (6)

Dave & Shelley,

Please see attached preliminary drafts of a potential letter to the Senate and note(s) for Secretary Mnuchin's 2018 annual disclosure report.

Thanks,
Seth

From: [Seth Jaffe](#)
To: [David J. Apol](#)
Subject: Re: draft letter to Hill
Date: Friday, March 29, 2019 10:36:41 AM

Dave,

Also, FYI: Shelley had a few minor edits to the draft modified EA that she left in hard copy in my office yesterday.

I will be in at noon.

Thanks,
Seth

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

From: "David J. Apol" <djapol@oge.gov>

Date: 3/28/19 6:12 PM (GMT-05:00)

To: (b)(6) - Elizabeth Horton's email address

Cc: "Shelley K. Finlayson" <skfinlay@oge.gov>, Seth Jaffe <sjaffe@oge.gov>

Subject: RE: draft letter to Hill

2 pp attachment withheld in full (b)(5), (6)

Thanks Beth.

The normal course would be for you to send us a letter like this with a new draft ethics agreement and then we would send a letter to the Senate explaining that we think this would be consistent with the laws and standards of conduct. I think this letter forms a good basis for your letter to us.

Attached is the ethics agreement you sent us which we revised to (b) (5)

Let's discuss it tomorrow.

Dave

Referral to Treasury

Referral to Treasury

Referral to Treasury



From: [David J. Apol](#)
To: [Emory A. Rounds III](#); [Shelley K. Finlayson](#)
Subject: Just talked to Brian at DOT
Date: Friday, March 29, 2019 6:54:00 PM

(b) (5)



He said they would be back in touch Monday morning.

David J. Apol
General Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
(202) 482-9292

From: [Shelley K. Finlayson](#)
To: [Seth Jaffe](#)
Subject: Amendment to EA Senltr2(skf+ear edits).03.28.19.docx
Date: Monday, April 1, 2019 9:13:19 AM
Attachments: [Amendment to EA Senltr2\(skf+ear edits\).03.28.19.docx](#)

1 pp attachment withheld in full (b)(5),
(6)

From: [Shelley K. Finlayson](#)
To: [Seth Jaffe](#)
Subject: Note to Report (skf+ear edits) 03.28.19.docx
Date: Monday, April 1, 2019 9:13:50 AM
Attachments: [Note to Report \(skf+ear edits\) 03.28.19.docx](#)

1 pp attachment withheld in full (b)
(5), (6)

From: [Seth Jaffe](#)
To: [Teresa L. Williamson](#)
Subject: RE: letter draft
Date: Tuesday, April 2, 2019 10:10:19 AM

Teresa,

Thank you very much.

Seth

From: Teresa L. Williamson
Sent: Tuesday, April 02, 2019 10:06 AM
To: Seth Jaffe
Subject: RE: letter draft

Here you go. Let me know if you need any other help with this. Thanks! Teresa

From: Seth Jaffe
Sent: Tuesday, April 02, 2019 9:19 AM
To: Teresa L. Williamson
Subject: letter draft

1 pp attachment withheld in full (b)(5),
(6)

Teresa,

See attached – per our conversation. I would really appreciate it if you could put the attached letter on OGE letterhead (in an editable format) in the format we use for these types of letters and if you could fill in the highlighted portions.

Just give me a call if you have any questions.

Thank you very much,
Seth

From: [Seth Jaffe](#)
To: [David J. Apol](#)
Subject: letter
Date: Tuesday, April 2, 2019 2:31:56 PM
Attachments: [amendment letter for Seth April 2 2019.docx](#)
[amendment letter for Seth April 2 2019.pdf](#)

Two 1 pp attachments
withheld in full (b)(5), (6)

Dave,

See attached – per our conversation.

Thanks,
Seth

Referral to Treasury



Referral to Treasury



Referral to Treasury



Referral to Treasury



From: [David J. Apol](#)
To: [Seth Jaffe](#); [Monica M. G. Ashar](#)
Subject: FW: Scanned Document
Date: Thursday, April 4, 2019 12:56:28 PM
Attachments: [Scanned Document.pdf](#)

4 page attachment referred
to Treasury

Referral to Treasury



Referral to Treasury



From: [Monica M. G. Ashar](#)
To: [Zohair Baig](#)
Cc: [Seth Jaffe](#)
Subject: Hyperlink on annual 278
Date: Thursday, April 4, 2019 3:39:42 PM

Zohair,

As discussed, please create a hyperlink on Page 2 of Steven Mnuchin's annual 278 on the following text: "its letter, OGE has agreed to a modified ethics agreement." This will link to the combined ethics agreement document.

Thanks,
Monica

From: [Heather A. Jones](#)
To: [David J. Apol](#); [Shelley K. Finlayson](#)
Subject: FW: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Date: Thursday, April 4, 2019 5:53:16 PM
Attachments: [OGE CUI Coversheet.pdf](#)
[Mnuchin, Steven finalAMENDMENT EA.pdf](#)
[Mnuchin, Steven finalAMENDMENTagencyltr.pdf](#)
[Mnuchin, Steven finalAMENDMENTsenateltr.pdf](#)
Importance: High

First attachment is a standard OGE CUI coversheet and a nonresponsive record. Other attachments released below.

FYI

From: Levasseur, Josh (Finance) [mailto:(b) (6)]
Sent: Thursday, April 04, 2019 5:45 PM
To: Heather A. Jones
Cc: (b)(6) - Scott Gast's email address; Deborah J. Bortot; Teresa L. Williamson
Subject: RE: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Importance: High

Will you post the letter from the agency to your website? We have press making inquiries and would like to make sure we do not release anything that you will not be posting. A quick reply would be appreciated. Thanks!

From: Teresa L. Williamson <tlwillia@oge.gov>
Sent: Thursday, April 4, 2019 2:54 PM
To: Levasseur, Josh (Finance) <(b) (6)>
Cc: (b)(6) - Scott Gast's email address; Deborah J. Bortot <djbortot@oge.gov>; Heather A. Jones <hajones@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>
Subject: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)

CONTROLLED

Attachments contain CUI. When the attachments are removed, this email is uncontrolled unclassified information.

Good Afternoon,

The attached is an amendment to the final report that was submitted to the committee by letter dated January 10, 2017.

Please respond to this message to confirm receipt of the attached package.

If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

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DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20220

April 3, 2019

Brian Sonfield
Assistant General Counsel
(General Law, Ethics & Regulation)
and Designated Agency Ethics Official
U.S. Department of the Treasury
1500 Pennsylvania Avenue, N.W.
Washington, D.C. 20220

Dear Mr. Sonfield:

This letter supersedes my letters dated January 10, 2017 and February 1, 2017 and describes the steps that I will take to avoid any actual or apparent conflict of interest in my position as Secretary of the Treasury.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

As to my imputed interest in Stormchaser Partners LLC (Stormchaser), consistent with the advice of Treasury's DAEO on April 20, 2017, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on my imputed financial interest in Stormchaser Partners LLC, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). This recusal includes particular matters of general applicability involving the film industry which could affect Stormchaser. Stormchaser does not currently own or have an interest in any films being marketed internationally. If while I am Secretary the nature of Stormchaser's business practices or the types of films it owns or produces changes, I will seek further guidance from the Department's ethics official, who will consult with OGE. For example, if, while I am Secretary, Stormchaser begins to market the films it owns or produces internationally I will recuse myself from any particular matters regarding films in any country in which Stormchaser is or is seeking to market its films and seek guidance from my ethics office, which will consult with OGE. My recusal further includes any particular tax matters that would have a direct and predictable effect on the class of independent films to which Stormchaser's holdings belong. In addition, I will not participate personally and substantially in any particular matter involving specific parties in which I know a financial investor in Stormchaser films is a

party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

As required by 31 U.S.C. § 329(a)(1)(D), I will not purchase or divest obligations of a State or the United States during my appointment to the position of Secretary of the Treasury.

I have completed all required divestitures and resignations as described in my letter dated January 10, 2017, and I will continue to ensure that all of my cash accounts are below thresholds insured by the Federal Deposit Insurance Corporation.

I continue to retain my unpaid position as President of Steven T. Mnuchin Inc. I am the sole owner of this entity, which I use to manage some of my investments. I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of Steven T. Mnuchin Inc. or its underlying assets, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

I resigned from my positions as Vice Chairman and Director of CIT Group, Inc., in April 2016 and December 2016, respectively and all payments from any holdback related to the merger of IMB Holdings LLC and CIT Group, Inc. have been distributed to the Steven T. Mnuchin Revocable Trust and the Steven Mnuchin Dynasty Trust I. The restrictive covenant agreement I had with CIT Group, Inc. expired in July 2018.

I signed the Ethics Pledge required under the Executive Order dated January 28, 2017 ("Ethics Commitments by Executive Branch Appointees"), and I understand that I am bound by the requirements and restrictions therein.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Sincerely,

A handwritten signature in black ink that reads "Steven T. Mnuchin". The signature is written in a cursive, flowing style.

Steven T. Mnuchin



DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20220

April 3, 2019

Mr. Emory Rounds, III
Director
U.S. Office of Government Ethics
1201 New York Avenue, NW
Suite 500
Washington, D.C. 20005

Dear Director Rounds:

We write with regard to Secretary Mnuchin's Ethics Agreement submitted to the Senate during his confirmation process in January of 2017, as supplemented by letter dated February 1, 2017. With the consent of your office, Treasury is amending the Secretary's Ethics Agreement to reflect advice provided to the Secretary on April 20, 2017, by the then-Designated Agency Ethics Official (DAEO) for the Department. Attached please find the amended Ethics Agreement between the Secretary and Treasury's current DAEO. We have outlined below the reasons for the amendment.

The Ethics Agreement filed at the time of the Secretary's confirmation lists the entity Stormchaser as an asset the Secretary would divest, and the Secretary in fact did divest his interest in that company. The company was also owned at that time by the Secretary's then fiancée, Louise Linton. After the Secretary's confirmation and prior to his wedding, the Secretary reached out to Treasury's then-DAEO—a well-respected career employee who has since retired—seeking conflict of interest advice regarding Ms. Linton's assets, which included her ownership of Stormchaser. Upon further review of Stormchaser's business and holdings, Treasury's DAEO concluded that Stormchaser presented no conflict of interest and therefore advised the Secretary in writing that Ms. Linton would not need to divest her ownership of her film company once they were married. In so advising, the DAEO authorized the Secretary to have an imputed interest in Stormchaser despite its inclusion as an asset to be divested in his original Ethics Agreement. The Office of Government Ethics (OGE) was not notified of the authorization at that time.

It is Treasury's continued opinion that Stormchaser presents no current conflict of interest for the Secretary; rather, its inclusion in his Ethics Agreement at the time of preclearance was out of an abundance of caution. Treasury believes Ms. Linton's continued ownership of her personal film business is consistent with all applicable ethics laws and regulations. Moreover, if a potential conflict were to arise, the Secretary could recuse himself from any matter that would have a direct and predictable effect on Stormchaser without affecting his ability to execute his responsibilities as Secretary.

Accordingly Treasury, with the advice and consultation of OGE, is formally amending the Secretary's Ethics Agreement to reflect the DAEO's April 20, 2017, written authorization and to include specific recusals and consultation requirements.

Sincerely,

A handwritten signature in black ink, appearing to read "Brian J. Sonfield". The signature is fluid and cursive, with the first name "Brian" and last name "Sonfield" clearly distinguishable.

Brian J. Sonfield
Assistant General Counsel
(General Law, Ethics and Regulation)
& Designated Agency Ethics Official

Attachment

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



April 4, 2019

The Honorable Charles E. Grassley
Chairman
Committee on Finance
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

By letter dated January 10, 2017, the Office of Government Ethics (OGE) transmitted to the Committee the financial disclosure report of Steven Mnuchin in connection with his nomination for the position of Secretary, Department of the Treasury. Enclosed are a letter from the Department of the Treasury and a letter from Secretary Mnuchin amending his ethics agreement.

OGE is unable to certify Secretary Mnuchin's 2018 annual public financial disclosure report because he has an imputed interest in Stormchaser Partners LLC, which he agreed to divest. Although he divested this interest by selling it to his then-fiancée, he subsequently reacquired an imputed interest when he later married his fiancée. According to the Department of the Treasury, the Secretary sought advice from the Department of the Treasury's Designated Agency Ethics Official who advised him that he could retain his imputed interest in the entity because the asset did not create a potential for a conflict of interest. However, the Department of the Treasury's ethics officials did not inform OGE of this advice, which had the effect of letting the Secretary reacquire, without prior approval from OGE, a financial interest in an asset he agreed to divest.

Although OGE is unable, given these circumstances, to certify the Secretary's 2018 annual financial disclosure report, OGE is satisfied that commitments reflected in the enclosed modified ethics agreement enable him to continue to hold an imputed interest in Stormchaser Partners LLC and be in compliance with his amended ethics agreement and the applicable conflict of interest laws. Specifically, Secretary Mnuchin has committed to additional recusals and to consult with OGE if the nature of the Stormchaser Partners LLC business were to change.

Sincerely,

Emory A. Rounds, III
Director

Enclosures



From: [Zohair Baig](#)
To: [Monica M. G. Ashar](#); [Seth Jaffe](#)
Cc: [Teresa L. Williamson](#)
Subject: Almost Complete - Updated documents are now live in the 201
Date: Friday, April 5, 2019 8:23:49 AM

Just need to add the link now and re upload. Should be complete within the hour.

[https://extapps2.oge.gov/201/Presiden.nsf/PAS%20IndexA?
OpenView&Start=1&Count=1000&Expand=15&RestrictToCategory=M#15](https://extapps2.oge.gov/201/Presiden.nsf/PAS%20IndexA?OpenView&Start=1&Count=1000&Expand=15&RestrictToCategory=M#15)

Best,

M Zohair Baig
202-482-9311

From: Jennifer Matis
To: "Nicholson, Ian (Finance)"
Subject: RE: Ross' 278
Date: Friday, April 5, 2019 8:52:41 AM

Good morning,

Secretary Mnuchin's 2018 annual report has been posted on OGE's website. My understanding is that you would have received the whole package yesterday from the majority, but for your convenience the letter mentioned in the note on the report is posted here:
[https://extapps2.oge.gov/201/Presiden.nsf/PAS+Index/9F453E3359DE2640852583D300430B9F/\\$FILE/Mnuchin%20Steven%20T.%20AMENDED%20EA%20\(4-4-19\).pdf](https://extapps2.oge.gov/201/Presiden.nsf/PAS+Index/9F453E3359DE2640852583D300430B9F/$FILE/Mnuchin%20Steven%20T.%20AMENDED%20EA%20(4-4-19).pdf)

Thanks,

Jen

Jennifer Matis
Associate Counsel
Legal, External Affairs and Performance Branch
Program Counsel Division
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

Visit OGE's website www.oge.gov
Follow OGE on Twitter @OfficeGovEthics

From: Nicholson, Ian (Finance) [mailto:(b) (6)]
Sent: Wednesday, February 20, 2019 10:35 AM
To: Jennifer Matis
Subject: Re: Ross' 278

Thanks, Jen! Yes, we saw it posted last night. Let me know when Mnuchin's 278 for 2018 is online next. Thanks a lot for the email regarding Ross, to be sure!

Sent from my iPhone

On Feb 20, 2019, at 8:03 AM, Jennifer Matis <jmatis@oge.gov> wrote:

I'm sure you saw the news about Emory's letter. I just wanted to let you know that I checked today and the report is posted on OGE's website. Let me know if you aren't able to find it.

Thanks,

Jen

Jennifer Matis
Associate Counsel
Legal, External Affairs and Performance Branch
Program Counsel Division
U.S. Office of Government Ethics
202-482-9216
jennifer.matis@oge.gov

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AIMS Agency Information Management System

My Dashboard	New Interaction	New Outreach	Search	Trends	Agencies	Administration
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Resolved Interaction

Interaction Number: 16437		copy press contact to new
Initiation Date*	2018-11-21	
Title*	Question about individuals' financial disclosure reports (OpenSecrets)	
Question*	Hi, I'm wondering what the status is on annual financial forms for Treasury Secretary Steven Mnuchin, Education Secretary Betsy DeVos and Commerce Secretary Wilbur Ross. They are still not on the website - so I'm wondering if there are any extensions granted for these cabinet members or if they simply did not file them.	
Update:		
Categories*	278s	
Origin Of Interaction*	Email	
Source*	Non-Agency Press	
First Name:	Karl	
Last Name:	Evers-Hillstrom	
Title:	Money-In-Politics Reporter, OpenSecrets	
Position:		
Email:	(b) (6)	
Phone:	(b) (6)	
Other Contact Notes:		
Assignment:	Elizabeth D. Horton	
Watching:		
Temporary Notes:		
created by:	Elizabeth D. Horton	

Resolution Information	
Resolution Date*	2018-11-21
Resolution Category*	Resolved
Response*	I told the reporter that I would not discuss specific individuals but told him on background that OGE posts reports that it reviews after they have been certified. (Assigned to: Elizabeth D. Horton)
Complexity (level):	1 [Click to view/hide complexity guidelines]
Time Spent (hours):	0-1 hour
Contributor:	
reopen	

Interaction History Log	
Closed	11/21/2018 11:59:10 AM by Elizabeth D. Horton
Now Assigned: Elizabeth D. Horton	11/21/2018 11:59:10 AM by Elizabeth D. Horton

Division(s) assigned: IOD

Jennifer Matis

From: Dale A. Christopher
Sent: Tuesday, November 6, 2018 3:11 PM
To: Mark R.B. Stewart
Subject: RE: Treasury: question on spouse's honoraria: reported on your 2018 financial disclosure report

Mark, yes, we did (finally) hear back last week and I've provided the responses to Dave for an additional set of eyes to see if the responses were sufficient. (b) (5)

. Sorry for not looping you in earlier. This one has just been kind of a mess.

Chip

From: Mark R.B. Stewart
Sent: Tuesday, November 06, 2018 2:50 PM
To: Dale A. Christopher; Lori Kelly
Subject: RE: Treasury: question on spouse's honoraria: reported on your 2018 financial disclosure report

Sir,

Did you ever hear back from Treasury on Alicia's questions on Mnuchin's report? I had sort of put it on a back burner, based on this email.

But, I just got off the phone with Jenn, and she was asking about it, concerning our cert, and was going to talk to Shelley? I guess reporters are asking for our certified copy?

(b) (5)

Anyway, just wanted to let you know.

Mark

From: Dale A. Christopher
Sent: Wednesday, October 17, 2018 9:13 AM
To: Mark R.B. Stewart; Lori Kelly
Subject: FW: Treasury: question on spouse's honoraria: reported on your 2018 financial disclosure report

Just FYI

Referred to Treasury

From: Dale A. Christopher [mailto:dachrist@oge.gov]

Sent: Wednesday, October 17, 2018 8:44 AM

To: Cencer, Donna

Subject: RE: Treasury: question on spouse's honoraria: reported on your 2018 financial disclosure report

Thank you, Donna. Sorry for just getting back to you on this. I'm just trying to keep my head above water until Dan's replacement is selected.

Two additional questions for you:

1. (b)(5) and (6) unrelated filer
2. Regarding Sec. Mnuchin's report, according to the OGE reviewer's notes, it appears that Alicia Rosado sent Treasury several follow-up questions on 8/19. Unfortunately, (b)(6) OGE staffing and I'm unable to determine whether she ever received a response to these questions. Do you happen to know?

Thank you,

Chip

Nonresponsive record entirely about another filer. If it were responsive it would be referred to Treasury and exemptions would apply.

Nonresponsive record entirely about another filer. If it were responsive it would be referred to Treasury and exemptions would apply.

Nonresponsive record entirely about another filer. If it were responsive it would be referred to Treasury and exemptions would apply.

Jennifer Matis

From: Lorna A. Syme
Sent: Tuesday, November 6, 2018 12:02 PM
To: Dale A. Christopher
Cc: David J. Apol
Subject: Annual report - Mnuchin

Chip:

I just wanted to let you know that I spoke briefly yesterday with Dave about the Mnuchin annual report.


As I mentioned to Dave, (b)(5)



(b)(5)



My Recommendation: (b)(5)



Please let me know if I can clarify anything.

Lorna

Lorna Syme
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9277
Facsimile: (202) 482-9237

Visit OGE's website: www.oge.gov

Follow OGE on Twitter: @OfficeGovEthics

From: [Lori Kelly](#)
To: ["Mark Stewart"](#)
Cc: [278Traking](#)
Subject: 278 Status Reminder
Date: Wednesday, January 30, 2019 5:33:35 PM

Hi,

Just a friendly heads-up on any reports over 30 days:

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Stewart, Mark R B	Annual	6/27/2018	217	Initial Review Complete	Mnuchin	Steven	Department of The Treasury
Stewart, Mark R B	New Entrant	9/12/2018	140	Initial Review Complete	(b)(6) Unrelated filers		
Stewart, Mark R B	Termination	12/14/2018	47	Not Started			
Stewart, Mark R B	Termination	12/18/2018	43	Not Started			
Stewart, Mark R B	Termination	12/19/2018	42	Not Started			
Stewart, Mark R B	New Entrant	12/19/2018	42	Not Started			

Please let us know as early as possible if you have significant concerns on any report

Thanks!

Lori Kelly, Staff Assistant
U S Office of Government Ethics
Financial Disclosure Branch
1201 New York Ave , NW, Suite 500
Washington, DC 20005-3917
Tel 202 482 9306

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

Greetings

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

Visit OGE's website: www.oge.gov

From: [Lori Kelly](#)
To: [Mark R.B. Stewart](#)
Cc: [278Tracking](#)
Subject: 278 Status Reminder
Date: Tuesday, November 27, 2018 1:19:38 PM

Hi,

Just a friendly heads-up on any reports over 30 days:

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Stewart, Mark R.B.	Annual	10/26/2018	32	Not Started	(b)(6) Unrelated filers		
Stewart, Mark R.B.	Annual	10/26/2018	32	Not Started			
Stewart, Mark R.B.	Annual	10/18/2018	40	Initial Review Complete			
Stewart, Mark R.B.	New Entrant	9/12/2018	76	Initial Review Complete			
Stewart, Mark R.B.	Annual	6/27/2018	153	Initial Review Complete	Mnuchin	Steven	Department of The Treasury

Please let us know as early as possible if you have significant concerns on any report

Thanks!

Lori Kelly, Staff Assistant
U S Office of Government Ethics
Financial Disclosure Branch
1201 New York Ave , NW, Suite 500
Washington, DC 20005-3917
Tel 202 482 9306

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

Greetings

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

Visit OGE's website: www.oge.gov

From: [Lori Kelly](#)
To: [Mark R.B. Stewart](#)
Cc: [278Tracking](#)
Subject: 278 Status Reminder
Date: Tuesday, October 30, 2018 5:59 57 PM

Hi,

Just a friendly heads-up on any reports over 30 days:

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Stewart, Mark R.B.	Annual	6/1/2018	151	Not Started	(b)(6) Unrelated filers		
Stewart, Mark R.B.	Annual	6/27/2018	125	Initial Review Complete	Mnuchin	Steven	Department of The Treasury
Stewart, Mark R.B.	Annual	7/2/2018	120	In Progress	(b)(6) Unrelated filers		
Stewart, Mark R.B.	New Entrant	9/12/2018	48	In Progress			
Stewart, Mark R.B.	Annual	9/19/2018	41	Not Started			
Stewart, Mark R.B.	Annual	9/26/2018	34	Not Started			
Stewart, Mark R.B.	Annual	9/28/2018	32	Not Started			
Stewart, Mark R.B.	Annual	9/28/2018	32	Not Started			

Please let us know as early as possible if you have significant concerns on any report.

Thanks!

Lori Kelly, Staff Assistant
U.S. Office of Government Ethics
Financial Disclosure Branch
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Tel. 202.482.9306

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Greetings

Lori Kelly, Program Specialist
US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

Visit OGE's website: www.oge.gov

From: [Lori Kelly](#)
To: ["Mark Stewart"](#)
Cc: [278Tracking](#)
Subject: 278 Status Reminder
Date: Tuesday, February 05, 2019 4:04:27 PM

Hi,

Just a friendly heads-up on any reports over 30 days:

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Stewart, Mark R.B.	New Entrant	9/12/2018	146	Initial Review Complete	(b)(6) Unrelated filers		
Stewart, Mark R.B.	New Entrant	12/19/2018	48	Initial Review Complete			
Stewart, Mark R.B.	Annual	6/27/2018	223	Initial Review Complete	Mnuchin	Steven	Department of The Treasury

Please let us know as early as possible if you have significant concerns on any report

Thanks!

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Greetings

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US Office of Government Ethics
Financial Disclosure Branch
Compliance Division
202-482-9306

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From: [Nicole Stein](#)
To: [Emory A. Rounds III](#); [Shelley K. Finlayson](#)
Subject: Canceled: Meeting with Sec. of Treasury
Start: Wednesday, February 20, 2019 10:30:00 AM
End: Wednesday, February 20, 2019 11:00:00 AM
Location: Treasury

Sent from my Verizon, Samsung Galaxy smartphone

From: [Deborah J. Bortot](#)
To: [David J. Apol](#); [Seth Jaffe](#)
Subject: FW: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Date: Thursday, April 04, 2019 5:46:29 PM
Attachments: [OGE CUI Coversheet.pdf](#)
[Mnuchin, Steven finalAMENDMENT EA.pdf](#)
[Mnuchin, Steven finalAMENDMENTagencyltr.pdf](#)
[Mnuchin, Steven finalAMENDMENTsenateltr.pdf](#)
Importance: High

Same attachment as page 56

From: Levasseur, Josh (Finance) (b)(6)]
Sent: Thursday, April 04, 2019 5:45 PM
To: Heather A. Jones
Cc: (b)(6) Gast email; Deborah J. Bortot; Teresa L. Williamson
Subject: RE: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Importance: High

Will you post the letter from the agency to your website? We have press making inquiries and would like to make sure we do not release anything that you will not be posting. A quick reply would be appreciated. Thanks!

From: Teresa L. Williamson <tlwillia@oge.gov>
Sent: Thursday, April 4, 2019 2:54 PM
To: Levasseur, Josh (Finance) (b)(6) >
Cc: (b)(6) Gast email; Deborah J. Bortot <djborot@oge.gov>; Heather A. Jones <hajones@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>
Subject: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)

CONTROLLED

Attachments contain CUI. When the attachments are removed, this email is uncontrolled unclassified information.

Good Afternoon,

The attached is an amendment to the final report that was submitted to the committee by letter dated January 10, 2017.

Please respond to this message to confirm receipt of the attached package.

If you have any questions, please contact Teresa Williamson @ 202-482-9283.

Thanks!

Teresa Williamson
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Avenue, NW - Suite 500
Washington, DC 20005
202-482-9283

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From: [Integrity.gov](https://integrity.gov)
To: [Lori Kelly](#)
Subject: Integrity.gov: Report Pending Action
Date: Wednesday, June 27, 2018 4:57:53 PM

A 2018 Annual report for Mnuchin, Steven is pending your action as OGE Reviewer. You may access the report by logging into integrity at <https://integrity.gov>.

From: [Seth Jaffe](#)
To: [Deborah J. Bortot](#); [David J. Apol](#)
Subject: Re: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Date: Friday, April 05, 2019 11:45:24 AM

Deborah,

FYI: Our release did include the Treasury letter to us.

Thanks,
Seth

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

From: "Deborah J. Bortot" <djbortot@oge.gov>
Date: 4/4/19 5:46 PM (GMT-05:00)
To: "David J. Apol" <djapol@oge.gov>, Seth Jaffe <sjafe@oge.gov>
Subject: FW: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)

From: Levasseur, Josh (Finance) [mailto:(b) (6)]
Sent: Thursday, April 04, 2019 5:45 PM
To: Heather A. Jones
Cc: (b)(6) Gast email; Deborah J. Bortot; Teresa L. Williamson
Subject: RE: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)
Importance: High

Will you post the letter from the agency to your website? We have press making inquiries and would like to make sure we do not release anything that you will not be posting. A quick reply would be appreciated. Thanks!

From: Teresa L. Williamson <tlwillia@oge.gov>
Sent: Thursday, April 4, 2019 2:54 PM
To: Levasseur, Josh (Finance) <(b) (6)>
Cc: (b)(6) Gast email; Deborah J. Bortot <djbortot@oge.gov>; Heather A. Jones <hajones@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>
Subject: Amendment to Public Financial Disclosure Report - Steven Mnuchin (Attachments Contain CUI)

CONTROLLED

Attachments contain CUI. When the attachments are removed, this email is uncontrolled

unclassified information.

Good Afternoon,

The attached is an amendment to the final report that was submitted to the committee by letter dated January 10, 2017.

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Thanks!

Teresa Williamson
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1201 New York Avenue, NW - Suite 500
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Nonresponsive record entirely about an unrelated filer.

Nonresponsive record entirely about an unrelated filer.

Nonresponsive record entirely about an unrelated filer.

From: Alicia N. Rosado
Sent: Wednesday, August 08, 2018 10:46 AM
To: Daniel L. Skalla
Cc: 278Tracking
Subject: RE: 278 Status Reminder

Hi Dan and Lori,

I updated my 30-day list with the reports status as of today. Please let me know if you need me (b)(5)&(6) unrelated filer Thank you!

Respectfully,

Alicia

From: Daniel L. Skalla
Sent: Tuesday, August 07, 2018 9:43 AM
To: Alicia N. Rosado
Cc: 278Tracking
Subject: 278 Status Reminder

Hi Alicia,

Here's the 30-day list.

Reviewer	Type	Date Received	Age	Report Status	Filer Last Name	Filer First Name	Agency
Rosado, Alicia N.	Annual	6/27/2018	41	Discussing with nominee reviewer prior to sending out questions	Mnuchin	Steven	Department of The Treasury
Rosado, Alicia N.	Annual	6/29/2018	39	(b)(6) Unrelated filers			
Rosado, Alicia N.	Termination	6/29/2018	39				

				(b)(6) Unrelated filers
Rosado, Alicia N.	Annual	7/2/2018	36	
Rosado, Alicia N.	Annual	7/2/2018	36	
Rosado, Alicia N.	Annual	7/2/2018	36	
Rosado, Alicia N.	Annual	7/3/2018	35	

Dan

From: [Nicole Stein](#)
To: [Shelley K. Finlayson](#); [Emory A. Rounds III](#); [Director of OGE](#)
Subject: Updated: Treasury
Start: Tuesday, February 26, 2019 3:00:00 PM
End: Tuesday, February 26, 2019 3:30:00 PM

When: Feb 26, 2019 3:00:00 PM

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